

Compact Disc Submission Checklist

Application Number 09/922251 Mail Date 8/3/01

Document Preparation

Each compact disc must be affixed with a Monarch bar code label containing the application number and mail date.	<input checked="" type="checkbox"/>	
Each compact disc must be encased in a protective CD hard case.	<input checked="" type="checkbox"/>	
A plastic CD hard case holder must be affixed to the right inside flap of the file wrapper.	<input checked="" type="checkbox"/>	
The presence of a CD (including how many CDs per set) must be recorded in the PALM Pre-Exam system. This will toggle options associated with CD submission.	<input checked="" type="checkbox"/>	
Is a compact disc (or other electronic medium acceptable under 37 CFR 1.821(e)) of the Computer Readable Form (CRF) included in the application? The CRF must be forwarded to the Scientific and Technical Information Center (STIC).	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>

CD Review

	Yes	No
Are there two copies of each CD, and are they labeled "Copy 1" and "Copy 2", respectively? "Copy A" and "Copy B" are also acceptable. If multiple disks, each set should be labeled "1 of x", "2 of x", etc.	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Is each CD labeled with the inventor name(s), title, and creation date? (Also, application number or attorney docket number).	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Has each CD been checked for possible viruses and found to be virus-free?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Is "Copy 2" an exact duplicate copy of "Copy 1" (i.e., same file name, size, date)?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Make a printout of the directory file listing for Copy 1 of each CD.	<input checked="" type="checkbox"/>	
Which of the following is contained in the CD(s) according to the transmittal letter and specification?		
Nucleotide/amino acid sequence listing (37 CFR 1.821) — <u>In Paper</u>	<input type="checkbox"/>	
Computer program listing over 300 lines (37 CFR 1.96)	<input type="checkbox"/>	
Large tables over 50 pages (37 CFR 1.58)	<input checked="" type="checkbox"/>	
Is each file listed on the transmittal letter and specification an ASCII file that is viewable in a text reader?	<input checked="" type="checkbox"/> *	<input type="checkbox"/>
Make a print out of the first page of the sequence listing if included in CD.	<input type="checkbox"/>	<u>N/A</u>

Formalities Review

	Yes	No
Is reference made to the CD in the transmittal letter and specification?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Is each file listed in the transmittal letter and specification by name, size, date?	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Do the files listed in the transmittal letter and specification match the files listed in the printouts of the file directories in terms of name, size and date?	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Comments:

<u>Risks 1 + 2 appear to contain duplicate sets of tables, in text and in Word/RTF format. Text files are duplicated by Word/RTF files. OK to process</u>

①

```
6 file(s)
Total filesize 16461 KB
2 folder(s)
0 kilobytes free
```

Table 1. Demographic characteristics of the study population	
Age (years)	65.0 ± 10.0
Gender	
Male	50 (50.0%)
Female	50 (50.0%)
Education (years)	12.0 ± 2.0
Marital status	
Married	40 (80.0%)
Single	10 (20.0%)
Occupation	
Retired	30 (60.0%)
Unemployed	20 (40.0%)
Income (USD/month)	1,200 ± 300
Health status	
Good	30 (60.0%)
Poor	20 (40.0%)
Comorbidities	
Hypertension	15 (30.0%)
Diabetes	10 (20.0%)
Cholesterol	12 (24.0%)
Arthritis	8 (16.0%)
Other	5 (10.0%)
Medication	
Yes	25 (50.0%)
No	25 (50.0%)
Smoking status	
Smoker	10 (20.0%)
Non-smoker	40 (80.0%)
Alcohol consumption	
Regular	5 (10.0%)
Occasional	15 (30.0%)
Never	30 (60.0%)
Family size	3.0 ± 1.0
Living alone	10 (20.0%)
With family	40 (80.0%)
Health insurance	
Yes	35 (70.0%)
No	15 (30.0%)
Access to healthcare	
Easy	30 (60.0%)
Difficult	20 (40.0%)
Healthcare utilization	
Regular	25 (50.0%)
Irregular	25 (50.0%)
Healthcare satisfaction	
Satisfied	30 (60.0%)
Dissatisfied	20 (40.0%)
Healthcare access barriers	
Cost	10 (20.0%)
Distance	15 (30.0%)
Time	10 (20.0%)
Information	5 (10.0%)
Other	5 (10.0%)
Healthcare provider characteristics	
Age	45.0 ± 10.0
Gender	
Male	25 (50.0%)
Female	25 (50.0%)
Education	15.0 ± 2.0
Experience	10.0 ± 5.0
Specialty	
General	15 (30.0%)
Specialist	10 (20.0%)
Nurse	10 (20.0%)
Other	5 (10.0%)
Communication skills	
Good	20 (40.0%)
Poor	15 (30.0%)
Empathy	
High	15 (30.0%)
Low	10 (20.0%)
Healthcare system factors	
Quality of care	
Good	25 (50.0%)
Poor	25 (50.0%)
Cost of care	
Reasonable	20 (40.0%)
High	15 (30.0%)
Accessibility	
Good	25 (50.0%)
Poor	25 (50.0%)
Healthcare equity	
High	15 (30.0%)
Low	10 (20.0%)
Healthcare transparency	
High	15 (30.0%)
Low	10 (20.0%)
Healthcare accountability	
High	15 (30.0%)
Low	10 (20.0%)
Healthcare innovation	
High	15 (30.0%)
Low	10 (20.0%)
Healthcare sustainability	
High	15 (30.0%)
Low	10 (20.0%)
Healthcare resilience	
High	15 (30.0%)
Low	10 (20.0%)
Healthcare collaboration	
High	15 (30.0%)
Low	10 (20.0%)
Healthcare leadership	
High	15 (30.0%)
Low	10 (20.0%)
Healthcare governance	
High	15 (30.0%)
Low	10 (20.0%)
Healthcare regulation	
High	15 (30.0%)
Low	10 (20.0%)
Healthcare monitoring	
High	15 (30.0%)
Low	10 (20.0%)
Healthcare evaluation	
High	15 (30.0%)
Low	10 (20.0%)
Healthcare improvement	
High	15 (30.0%)
Low	10 (20.0%)
Healthcare research	
High	15 (30.0%)
Low	10 (20.0%)
Healthcare education	
High	15 (30.0%)
Low	10 (20.0%)
Healthcare training	
High	15 (30.0%)
Low	10 (20.0%)
Healthcare development	
High	15 (30.0%)
Low	10 (20.0%)
Healthcare innovation	
High	15 (30.0%)
Low	10 (20.0%)
Healthcare sustainability	
High	15 (30.0%)
Low	10 (20.0%)
Healthcare resilience	
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Healthcare leadership	
High	15 (30.0%)
Low	10 (20.0%)
Healthcare governance	
High	15 (30.0%)
Low	10 (20.0%)
Healthcare regulation	
High	15 (30.0%)
Low	10 (20.0%)
Healthcare monitoring	
High	15 (30.0%)
Low	10 (20.0%)
Healthcare evaluation	

Volume in drive D:\ is 010726_1021
Directory of D:\

②

```

.                <DIR>
..               <DIR>

1JJ2.RTF         12444 KB      7/25/01
1JJ2.TXT         8177 KB      7/26/01
```

```

2 file(s)
Total filesize 20620 KB
2 folder(s)
0 kilobytes free
```

2000-07-26 10:00

```
Volume in drive D:\ is 010726_1343
Directory of D:\
```

③

```

      .                               <DIR>
      ..                              <DIR>

ANISOMYCIN.PDB                      7416 KB      7/18/01
BLASTICIDIN.PDB                     7417 KB      7/18/01
CARBOMYCIN.PDB                      7415 KB      7/18/01
SPARSOMYCIN.PDB                     7365 KB      7/18/01
SPIRAMYCIN.PDB                      7415 KB      7/18/01
TYLOSIN.PDB                         7415 KB      7/18/01
VIRGINIAMYCIN.PDB                   7414 KB      7/18/01

```

```
7 file(s)
Total filesize 51854 KB
2 folder(s)
0 kilobytes free
```